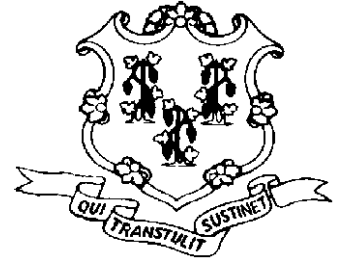




STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
September 2010

Issued

January 28, 2011

by DDS Waiver Policy and Planning

DDS Management Information Report

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SECTION I: Services and Supports

A. Where People Live and How They Are Supported

STATEWIDE

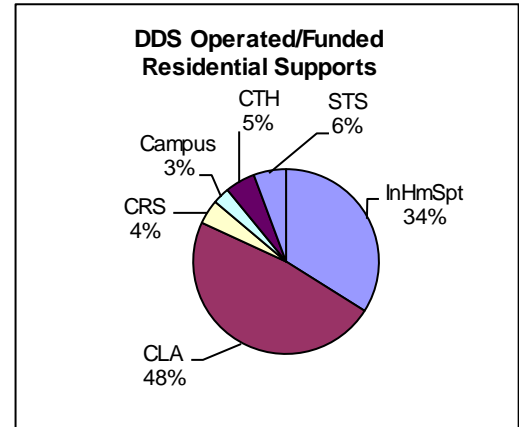
Total Clients: 15,418 **ΔYTD: -77**

(includes all active DDS clients)

Total Served: 20,525 **ΔYTD: -236**

(Includes active clients plus 5,107 non-DDS clients in Birth to Three programs)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support					
At Home	N/A	DDS	Private/ Other	Self* Direct	Total	% Total
Family Home	6,417		492	817	7,726	50.1%
Own Home (IL)**	282	286	896	182	1,646	10.7%
Sub-Total	6,699	286	1,388	999	9,372	60.8%
% Total	71.5%	3.1%	14.8%	10.7%	100%	
DDS Operated/Funded						
STS		445			445	2.9%
DDS Centers		231			231	1.5%
CLA		414	3,361		3,775	24.5%
CRS			337		337	2.2%
CTH			412		412	2.7%
Sub-Total		1,090	4,110		5,200	33.7%
% Total		21.0%	79.0%		100%	
Other State Agencies						
DMHAS			3		3	0.0%
DOC			9		9	0.1%
DCFCOT			79		79	0.5%
Sub-Total			91		91	0.6%
Other						
LTC/SNF/RCH (HA)			445		445	2.9%
Res. Schools			135		135	0.9%
Other			107		107	0.7%
Sub-Total			687		687	4.5%
Blank			68		68	0.4%
Grand Total	6,699	1,376	6,344	999	15,418	100.0%

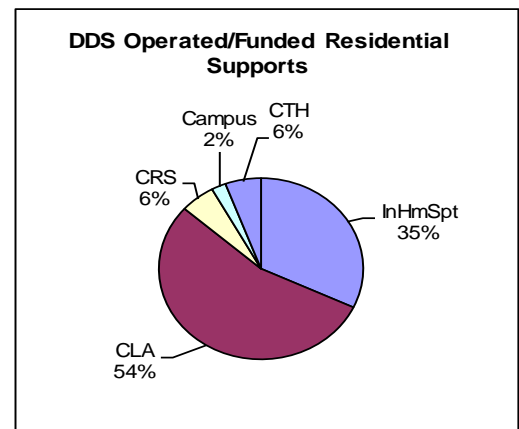


NORTH REGION

Total Clients: 5,236 **ΔYTD: -25**

(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support					
At Home	N/A	DDS	Private/ Other	Self* Direct	Total	% Total
Family Home	2,284		191	225	2,700	51.6%
Own Home (IL)**	120	104	272	32	528	10.1%
Sub-Total	2,404	104	463	257	3,228	61.7%
% Total	74.5%	3.2%	14.3%	8.0%	100.0%	
DDS Operated/Funded						
DDS Centers		59			59	1.1%
CLA		219	1,184		1,403	26.8%
CRS			142		142	2.7%
CTH			146		146	2.8%
Sub-Total		278	1,472	0	1,750	33.4%
% Total		15.9%	84.1%	0.0%	100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			8		8	0.2%
DCFCOT			27		27	0.5%
Sub-Total			36	0	36	0.7%
Other						
LTC/SNF/RCH (HA)			116		116	2.2%
Res. Schools			39		39	0.7%
Other			44		44	0.8%
Subtotal			199		199	3.8%
Blank			23		23	0.4%
Grand Total	2,404	382	2,193	257	5,236	100.0%



*People who direct their own services

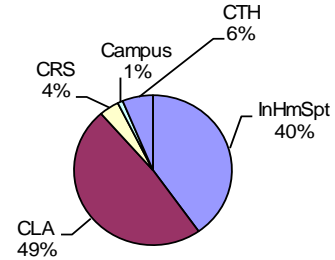
**Own Home includes individuals with services previously known as supported living

SOUTH REGION

Total Clients: 4,821 **ΔYTD: -19**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	1,970		141	281	2,392	49.6%
Own Home (IL)**	80	100	395	89	664	13.8%
Sub-Total	2,050	100	536	370	3,056	63.4%
% Total	67.1%	3.3%	17.5%	12.1%	100.0%	
DDS Operated/Funded						
DDS Centers		27			27	0.6%
CLA		181	1,031		1,212	25.1%
CRS			102		102	2.1%
CTH			157		157	3.3%
Sub-Total		208	1,290		1,498	31.1%
% Total		13.9%	86.1%		100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			1		1	0.0%
DCFCTO			33		33	0.7%
Sub-Total			35		35	0.7%
Other						
LTC/SNF/RCH (HA)			165		165	3.4%
Res. Schools			33		33	0.7%
Other			24		24	0.5%
Sub-total			222		222	4.6%
Blank			10		10	0.2%
Grand Total	2,050	308	2,093	370	4,821	100.0%

DDS Operated/Funded Residential Supports

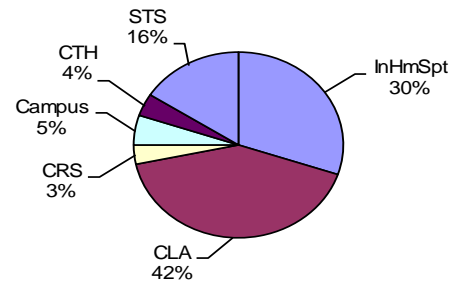


WEST REGION

Total Clients: 5,361 **ΔYTD: -33**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,163		160	311	2,634	49.1%
Own Home (IL)**	82	82	229	61	454	8.5%
Sub-Total	2,245	82	389	372	3,088	57.6%
% Total	72.7%	2.7%	12.6%	12.0%	100%	
DDS Operated/Funded						
STS		445			445	8.3%
DDS Centers		145			145	2.7%
CLA		14	1,146		1,160	21.6%
CRS			93		93	1.7%
CTH			109		109	2.0%
Sub-Total		604	1,348		1,952	36.4%
% Total		30.9%	69.1%		100%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			0		0	0.0%
DCFCTO			19		19	0.4%
Sub-Total			20		20	0.4%
Other						
LTC/SNF/RCH (HA)			164		164	3.1%
Res. Schools			63		63	1.2%
Other			39		39	0.7%
Sub-total			266		266	5.0%
Blank			35		35	0.7%
Grand Total	2,245	686	2,058	372	5,361	100.0%

DDS Operated/Funded Residential Supports



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports

B. Work and Day Services

STATEWIDE

DAY/WORK STATUS						
Kind of Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		849	5	151	1,005	6.5%
Group Supp. Emp.		3,228	114		3,342	21.7%
Sheltered Emp.		523	25		548	3.6%
Day Supp. Opt.		3,792	371	90	4,253	27.6%
Individ. Day Supp.		378	0	5	383	2.5%
Comp. Employment	378				378	2.5%
Other		55	2		57	0.4%
Sub-Total	378	8,825	517	246	9,966	64.6%
% Total	3.8%	88.6%	5.2%	2.5%	100.0%	
Educational and Developmental Services						
LEA		3,620			3,620	23.5%
Res School		31			31	0.2%
Birth to Three		18	5		23	0.1%
Other		80	41		121	0.8%
Sub-Total		3,749	46		3,795	24.6%
Other						
No Day Program	1,154				1,154	7.5%
Blanks	503				503	3.3%
Sub-Total	1,657				1,657	10.7%
Grand Total	2,035	12,574	563	246	15,418	100.0%

NORTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		240	5	20	265	5.1%
Group Supp. Emp.		1,123	21		1,144	21.8%
Sheltered Emp.		238	2		240	4.6%
Day Supp. Opt.		1,202	83	40	1,325	25.3%
Individ. Day Supp.		141	0	1	142	2.7%
Comp. Employment	125				125	2.4%
Other		31	0		31	0.6%
Sub-Total	125	2,975	111	61	3,272	62.5%
% Total	3.8%	90.9%	3.4%	1.9%	100.0%	
Educational and Developmental Services						
LEA		1,349			1,349	25.8%
Res School		5			5	0.1%
Birth to Three		11	0		11	0.2%
Other		67	14		81	1.5%
Sub-Total		1,432	14		1,446	27.6%
Other						
No Day Program	392				392	7.5%
Blanks	126				126	2.4%
Sub-Total	518				518	9.9%
Grand Total	643	4,407	125	61	5,236	100.0%

SOUTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		365		76	441	9.1%
Group Supp. Emp.		1,093	17		1,110	23.0%
Sheltered Emp.		101			101	2.1%
Day Supp. Opt.		1,234	9	27	1,270	26.3%
Individ. Day Supp.		152	0	1	153	3.2%
Comp. Employment	119				119	2.5%
Other		6	1		7	0.1%
Sub-Total	119	2,951	27	104	3,201	66.4%
% Total	3.7%	92.2%	0.8%	3.2%	100.0%	
Educational and Developmental Services						
LEA		1,118			1,118	23.2%
Res School		12			12	0.2%
Birth to Three			3		3	0.1%
Other		4	17		21	0.4%
Sub-Total		1,134	20		1,154	23.9%
Other						
No Day Program	326				326	6.8%
Blanks	140				140	2.9%
Sub-Total	466				466	9.7%
Grand Total	585	4,085	47	104	4,821	100.0%

WEST REGION

DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		244		55	299	5.6%
Group Supp. Emp.		1,012	76		1,088	20.3%
Sheltered Emp.		184	23		207	3.9%
Day Supp. Opt.		1,356	279	23	1,658	30.9%
Individ. Day Supp.		85	0	3	88	1.6%
Comp. Employment	134				134	2.5%
Other		18	1		19	0.4%
Sub-Total	134	2,899	379	81	3,493	65.2%
% Total	3.8%	83.0%	10.9%	2.3%	100.0%	
Educational and Developmental Services						
LEA		1,153			1,153	21.5%
Res School		14			14	0.3%
Birth to Three		7	2		9	0.2%
Other		9	10		19	0.4%
Sub-Total		1,183	12		1,195	22.3%
Other						
No Day Program	436				436	8.1%
Blanks	237				237	4.4%
Sub-Total	673				673	12.6%
Grand Total	807	4,082	391	81	5,361	100.0%

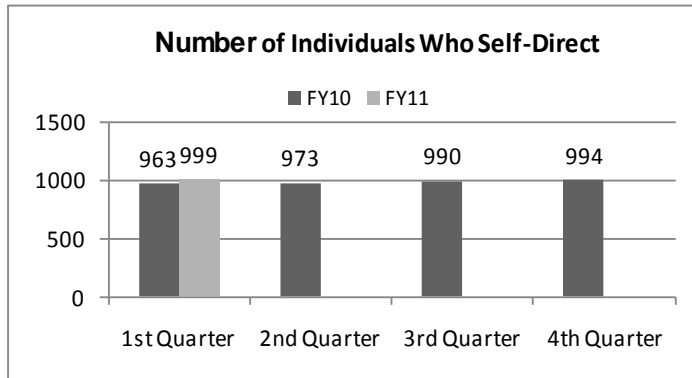
SECTION I: Services and Supports

C. Number of Individuals Who Self-Direct

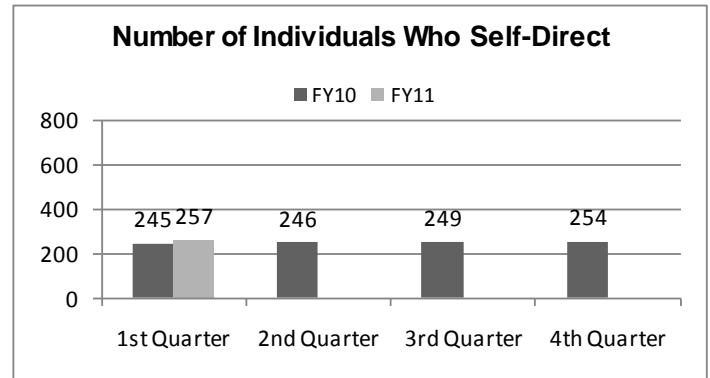
D. Birth to Three Services

E. Case Management Breakdown – Active Consumers

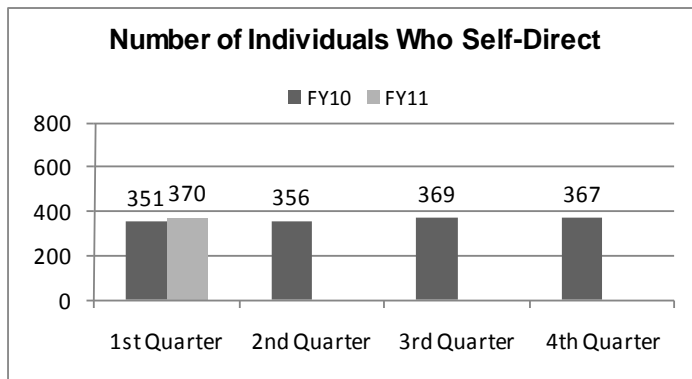
STATEWIDE



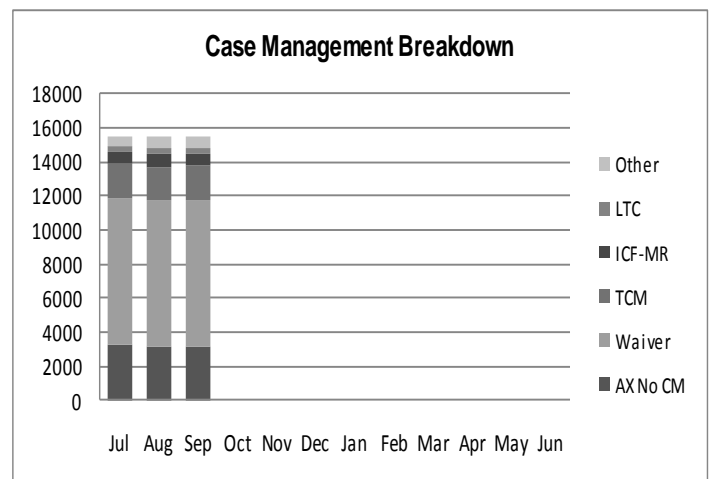
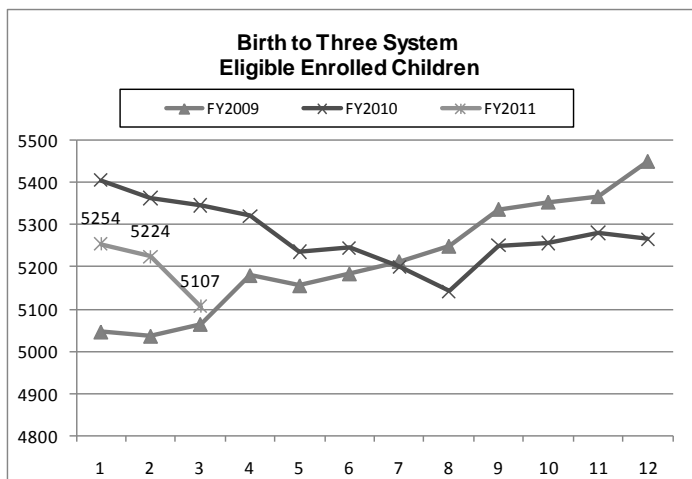
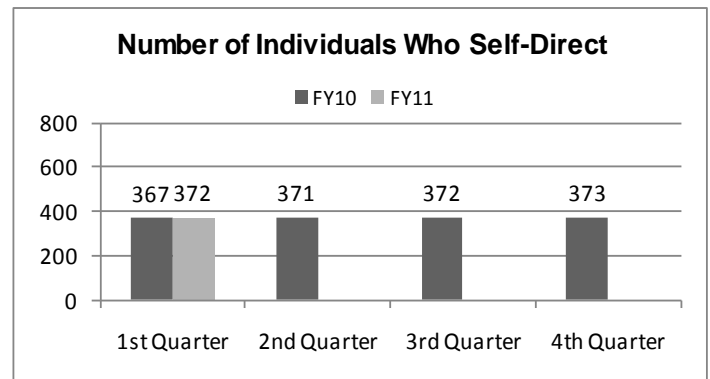
NORTH REGION



SOUTH REGION



WEST REGION



Statewide	AX No CM	Waiver	TCM	ICF-MR	LTC	Other	Total
YTD Diff	-143	-17	34	-7	0	35	-98

SECTION I: Services and Supports

F. Family Support – Direct Support Services

IFS Family Support - Statewide

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	20	187	0	0	0	0	0	0	20
Enrolled - Adult	25	185	0	0	0	0	0	0	25
Not Enrolled - Child	20	131	0	0	0	0	0	0	20
Enrolled - Child	4	55	0	0	0	0	0	0	4
Total	69	558	0	0	0	0	0	0	69

IFS Family Support - NR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	7	41							7
Enrolled - Adult	5	20							5
Not Enrolled - Child	2	11							2
Enrolled - Child	0	25							0
Total	14	97	0	0	0	0	0	0	14

IFS Family Support - SR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	9	89							9
Enrolled - Adult	10	90							10
Not Enrolled - Child	14	59							14
Enrolled - Child	4	21							4
Total	37	259	0	0	0	0	0	0	37

IFS Family Support - WR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	4	57							4
Enrolled - Adult	10	75							10
Not Enrolled - Child	4	61							4
Enrolled - Child	0	9							0
Total	18	202	0	0	0	0	0	0	18

SECTION I: Services and Supports**G. Respite Utilization**

STATEWIDE								
Respite Utilization Data - FY 2011								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18			Under 18				
	Over 18			Over 18				
	357			156	0	0	0	156
	813			602	0	0	0	602
Unduplicated Number Of People Using Respite Beds	Under 18		BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
	Over 18			Under 18				
	304			152	0	0	0	152
	747			547	0	0	0	547

NORTH REGION								
Respite Utilization Data - FY 2011								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18			Under 18				
	Over 18			Over 18				
	84			41				41
	197			182				182
Unduplicated Number Of People Using Respite Beds	Under 18		BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
	Over 18			Under 18				
	65			37				37
	178			127				127

SOUTH REGION								
Respite Utilization Data - FY 2011								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18			Under 18				
	Over 18			Over 18				
	130			42				42
	270			190				190
Unduplicated Number Of People Using Respite Beds	Under 18		BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
	Over 18			Under 18				
	98			42				42
	247			190				190

WEST REGION								
Respite Utilization Data - FY 2010								
Baseline Data - June 2010			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18			Under 18				
	Over 18			Over 18				
	143			73				73
	346			230				230
Unduplicated Number Of People Using Respite Beds	Under 18		BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
	Over 18			Under 18				
	141			73				73
	322			230				230

Section II: Service Needs

A. Residential Waiting List

STATEWIDE	Emerg.	Pri. 1	Total
Home/IL (No Supports)	29	517	546
DYTD	5	10	15
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	4	88	92
CRS	1	13	14
CTH	3	13	16
Indiv Home Supp*	6	128	134
Sub-Total	14	242	256
DYTD	6	27	33
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	52	52
Other	1	20	21
Sub-Total	1	72	73
Total w/Sup. & Service	15	315	330
Grand Total	44	832	876
Change YTD	11	39	50

NORTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	5	164	169
DYTD	-2	8	6
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	2	13	15
CRS	0	3	3
CTH	1	2	3
Indiv Home Supp*	1	34	35
Sub-Total	4	52	56
DYTD	2	-4	-2
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	15	15
Other	0	7	7
Sub-Total	0	22	22
Total w/Sup. & Service	4	74	78
Grand Total	9	238	247
Change YTD	-1	5	4

SOUTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	12	153	165
DYTD	5	-3	2
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	2	10	12
CRS	0	3	3
CTH	2	2	4
Indiv Home Supp*	2	7	9
Sub-Total	6	22	28
DYTD	4	4	8
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	21	21
Other	1	3	4
Sub-Total	1	24	25
Total w/Sup. & Service	7	47	54
Grand Total	19	200	219
Change YTD	10	1	11

WEST REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	12	200	212
DYTD	2	5	7
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	65	65
CRS	1	7	8
CTH	0	9	9
Indiv Home Supp*	3	87	90
Sub-Total	4	168	172
DYTD	0	27	27
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	16	16
Other	0	10	10
Sub-Total	0	26	26
Total w/Sup. & Service	4	194	198
Grand Total	16	394	410
Change YTD	2	33	35

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

B. Residential Planning List

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	1046	390	1436
DYTD	-6	-7	-13
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	5	0	5
CTH	1	0	1
Indiv Home Supp*	46	2	48
Sub-Total	52	2	54
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	2	0	2
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	7	5	12
Other	5	1	6
Sub-Total	12	6	18
Total Supports & Service	66	8	74
Grand Total	1112	398	1510
Change YTD	-9	-6	-15

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	393	161	554
DYTD	-11	-3	-14
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CTH	0	0	0
Indiv Home Supp*	21	1	22
Sub-Total	21	1	22
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	1	4
Other	3	1	4
Sub-Total	6	2	8
Total Supports & Service	27	3	30
Grand Total	420	164	584
Change YTD	-12	-3	-15

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	429	132	561
DYTD	3	-3	0
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	3	0	3
CTH	0	0	0
Indiv Home Supp*	7	0	7
Sub-Total	10	0	10
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	4	2	6
Other	1	0	1
Sub-Total	5	2	7
Total Supports & Service	16	2	18
Grand Total	445	134	579
Change YTD	0	-2	-2

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	224	97	321
DYTD	2	-1	1
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	1	0	1
Indiv Home Supp*	18	1	19
Sub-Total	21	1	22
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	0	2	2
Other	1	0	1
Sub-Total	1	2	3
Total Supports & Service	23	3	26
Grand Total	247	100	347
Change YTD	3	-1	2

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

C. Day Services Waiting List

STATEWIDE			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	1	93	94
Out of Home	0	21	21
Total no supports	1	114	115
DYTD	0	3	3
Transition (Planned Placements)			
June 2010 Grads (Home)	0	95	95
June 2010 Ageouts (DCF, LEA, ISA funded)	0	38	38
Total	0	133	133
DDS Funded/Operated Supports*			
DDS Programs	0	3	3
Private Programs	0	107	107
Total	0	110	110
DYTD	0	9	9

NORTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	46	46
Out of Home	0	14	14
Total no supports	0	60	60
DYTD	0	3	3
Transition (Planned Placements)			
June 2010 Grads (Home)	0	54	54
June 2010 Ageouts (DCF, LEA, ISA funded)	0	15	15
Total	0	69	69
DDS Funded/Operated Supports*			
DDS Programs	0	0	0
Private Programs	0	21	21
Total	0	21	21
DYTD	0	-7	-7

SOUTH REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	0	21	21
Out of Home	0	1	1
Total no supports	0	22	22
DYTD	0	-3	-3
Transition (Planned Placements)			
June 2010 Grads (Home)	0	12	12
June 2010 Ageouts (DCF, LEA, ISA funded)	0	6	6
Total	0	18	18
DDS Funded/Operated Supports*			
DDS Programs	0	0	0
Private Programs	0	2	2
Total	0	2	2
DYTD	0	0	0

WEST REGION			
No Day Supports	Emerg.	Pri. 1	Total
Family or Own Home	1	26	27
Out of Home	0	6	6
Total no supports	1	32	33
DYTD	0	3	3
Transition (Planned Placements)			
June 2010 Grads (Home)	0	29	29
June 2010 Ageouts (DCF, LEA, ISA funded)	0	17	17
Total	0	46	46
DDS Funded/Operated Supports*			
DDS Programs	0	3	3
Private Programs	0	84	84
Total	0	87	87
DYTD	0	16	16

*NOTE: DDS funded/Operated Supports – individuals in this category do not have sufficient funding to exercise portability (purchase a different service/support with their current resources)

Two additional individuals are listed as June 2008 Grads and another two additional individuals are listed as June 2008 Ageouts statewide.

Section II: Service Needs

D. Future Planning Ageouts and High School Graduates

Residential Ageouts

STATEWIDE	
DCF, LEA or ISA Funded	
FY 2012	100
FY 2013	73
FY 2014	57

NORTH REGION	
DCF, LEA or ISA Funded	
FY 2012	34
FY 2013	31
FY 2014	18

SOUTH REGION	
DCF, LEA or ISA Funded	
FY 2012	27
FY 2013	21
FY 2014	21

WEST REGION	
DCF, LEA or ISA Funded	
FY 2012	39
FY 2013	21
FY 2014	18

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2012	277	80
FY 2013	282	95
FY 2014	31	68

NORTH REGION		
	School Graduates	Ageouts
FY 2012	105	30
FY 2013	115	37
FY 2014	11	19

SOUTH REGION		
	School Graduates	Ageouts
FY 2012	82	21
FY 2013	86	27
FY 2014	9	24

WEST REGION		
	School Graduates	Ageouts
FY 2012	90	29
FY 2013	81	31
FY 2014	11	25

NOTE: Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2014).

Section II: Service Needs

E. Portability

Number Of People Who Initiate The Portability Process										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	24							0	24
SR	27	36							27	36
WR	16	14							16	14
TOT	43	74	0	0	0	0	0	0	43	74
Represents New People In Each Quarter - NOT CUMULATIVE TOTAL										

Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People Who RESOLVE Their Issues And Stay -- DO NOT USE PORTABILITY										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	4							0	4
SR	5	2							5	2
WR	0	6							0	6
TOT	5	12	0	0	0	0	0	0	5	12
Represents New People In Each Quarter - NOT CUMULATIVE TOTAL										

Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People Who DO NOT RESOLVE Their Issues And Move -- USE PORTABILITY										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	1							0	1
SR	9	23							9	23
WR	3	3							3	3
TOT	12	27	0	0	0	0	0	0	12	27
Represents New People In Each Quarter - NOT CUMULATIVE TOTAL										

THIS TABLE IS FILLED IN AUTOMATICALLY BASED ON THE NUMBERS ABOVE										
Of The Number Of People Who Initiated The Portability Process (ABOVE) The Number Of People For Whom The Issue Is Still PENDING										
	Q1		Q2		Q3		Q4		TOTAL	
REG	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri	Pub	Pri
NR	0	19	0	0	0	0	0	0	0	19
SR	13	11	0	0	0	0	0	0	13	11
WR	13	5	0	0	0	0	0	0	13	5
TOT	26	35	0	0	0	0	0	0	26	35
THIS TABLE IS FILLED IN AUTOMATICALLY BASED ON THE NUMBERS ABOVE										

Section III: New Development Goals and Support Activity

A. Residential Waiting List Activities and Residential Ageouts

FY 11 - First Quarter Report Waiting List Activities Service Activity July 1, 2010 - September 30, 2010	
Residential Waiting List Funding and Service Activity	
North Region	Actual YTD
New FY 11 Funds	0
Opportune	8
Total	8
South Region	Actual YTD
New FY 11 Funds	0
Opportune	7
Total	7
West Region	Actual YTD
New FY 11 Funds	0
Opportune	5
Total	5
Statewide	Actual YTD
New FY 11 Funds	0
Opportune	20
Grand Total	20

Residential AgeOuts			
	Goal	Actual YTD	Difference
North Region			
Served with FY11 AO Funds	24	3	-21
Served with Opportune Resources	NA	0	0
Total	24	3	-21
South Region			
Served with FY11 AO Funds	18	2	-16
Served with Opportune Resources	NA	0	0
Total	18	2	-16
West Region			
Served with FY11 AO Funds	25	4	-21
Served with Opportune Resources	NA	0	0
Total	25	4	-21
Statewide			
Served with FY11 AO Funds	67	9	-58
Served with Opportune Resources	NA	0	0
Grand Total	67	9	-58

Five Additional individuals were served with FY 10 funds during this period

Section III: New Development Goals and Support Activity

B. Day AgeOuts and High School Grads

High School Graduates

Service Activity July 1, 2010 - September 30, 2010

High School Graduates Funding			
North Region	Goal	Actual YTD	Difference
June 2010 Grads Served w/FY11 Grad Funds	98	42	-56
Day Waiting List Ind. Served w/FY11 Grad Funds	0	0	0
Total	98	42	-56
South Region	Goal	Actual YTD	Difference
June 2010 Grads Served w/FY11 Grad Funds	85	68	-17
Day Waiting List Ind. Served w/FY11 Grad Funds	0	0	0
Total	85	68	-17
West Region	Goal	Actual YTD	Difference
June 2010 Grads Served w/FY11 Grad Funds	71	53	-18
Day Waiting List Ind. Served w/FY11 Grad Funds	0	0	0
Total	71	53	-18
Statewide	Goal	Actual YTD	Difference
June 2010 Grads Served w/FY11 Grad Funds	254	163	-91
Day Waiting List Ind. Served w/FY11 Grad Funds	0	0	0
Grand Total	254	163	-91

Tw entythree additional individuals were served with FY 10 funds during this period

Individuals "Aging Out" of DCF and LEA Services

Service Activity July 1, 2010 - September 30, 2010

Day AgeOuts			
North Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	24	19	-5
Served with Opportune Resources	NA	0	0
Total	24	19	-5
South Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	21	15	-6
Served with Opportune Resources	NA	0	0
Total	21	15	-6
West Region	Goal	Actual YTD	Difference
Served with FY11 AO Funds	25	11	-14
Served with Opportune Resources	NA	0	0
Total	25	11	-14
Statewide	Goal	Actual YTD	Difference
Served with FY11 AO Funds	70	45	-25
Served with Opportune Resources	NA	0	0
Grand Total	70	45	-25

One additional individual was funded with FY 10 funds.

Section III: New Development Goals and Support Activity

C. Underserved Consumers Receiving Annualized Residential Supports

Annualized Resources For Underserved Consumers			
	Number	Total*	Pct
North Region	14	84	17%
South Region	1	21	5%
West Region	9	192	5%
Statewide	24	297	8%

*Total represents all underserved consumers active on the waiting list during the reporting quarter

Section IV: Eligibility

Eligibility Inquiries And Determination For First Quarter FY '11- July 1, 2010 - September 30, 2010

There Were 86 Inquiries To Eligibility Unit

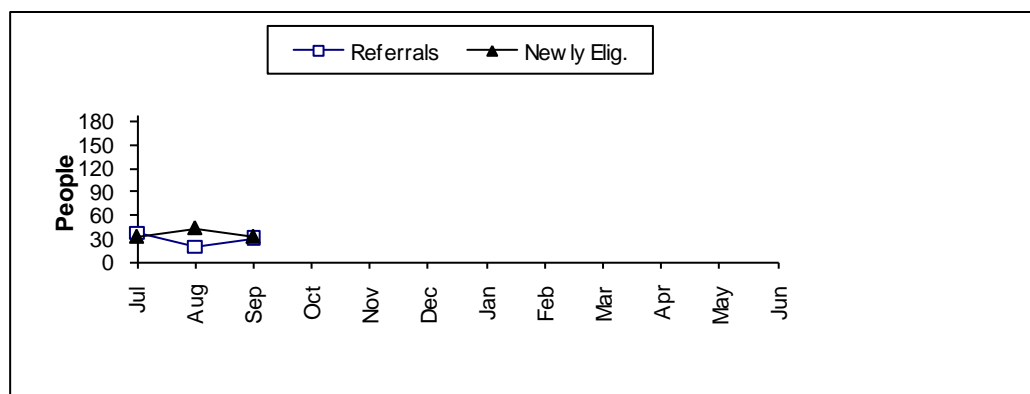
There Were 187 Eligibility Determinations

Note: Only 15 of the 187 determination were from inquiries made during the quarter.

Note: % Denials Twice Normal Due To Over 50 Denials Written In August

Age Range	Total	Eligible	% Eligible	Ineligible	% Ineligible
3-4.9	17	17	15.89%	0	0.00%
5-7	8	8	7.48%	0	0.00%
8-17.9	73	47	43.93%	26	32.50%
18-20.9	34	10	9.35%	24	30.00%
21-29	21	8	7.48%	13	16.25%
30-39	7	4	3.74%	3	3.75%
40-49	17	8	7.48%	9	11.25%
50-59	8	4	3.74%	4	5.00%
60-69	2	1	0.93%	1	1.25%
70-79	0	0	0.00%	0	0.00%
Totals	187	107	57.22%	80	42.78%

Monthly Referral/Eligibility Activity



Section V: Case Load by Age

A. Residential

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE

September 2010 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAM HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	0	0	23	0	0	0	0	23	0%
3-5	0	0	0	0	0	1	0	0	0	0	0	0	0	163	0	1	0	0	165	1%
6-13	0	0	14	0	0	25	0	0	0	12	0	0	0	1,227	0	1	3	1	1,283	8%
14-17	0	0	39	4	4	19	0	0	0	35	0	0	1	971	0	1	11	3	1,088	7%
18-21	1	1	131	20	18	27	2	0	1	56	8	0	3	1,206	0	1	21	3	1,499	10%
22-34	29	31	610	111	73	7	9	0	4	25	293	16	69	2,339	0	5	23	24	3,668	24%
35-44	84	90	572	77	80	0	17	0	1	3	168	43	121	828	2	7	13	9	2,115	14%
45-54	180	165	955	79	112	0	46	4	13	2	180	94	175	613	1	1	9	14	2,643	17%
55-64	219	92	664	31	75	0	98	16	10	2	107	85	121	276	0	1	9	8	1,814	12%
65-74	108	29	269	12	37	0	80	8	16	0	46	35	37	63	0	1	5	2	748	5%
75+	55	6	107	3	13	0	96	8	16	0	16	13	15	17	0	0	3	4	372	2%
TOTAL	676	414	3,361	337	412	79	348	36	61	135	818	286	542	7,726	3	19	97	68	15,418	100%
PERCENT	4%	3%	22%	2%	3%	1%	2%	0%	0%	1%	5%	2%	4%	50%	0%	0%	1%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAMILY HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	53	4	4	45	0	0	0	47	0	0	1	2,384	0	3	14	4	2,559	17%
Young Adults (Age 18-21)	1	1	131	20	18	27	2	0	1	56	8	0	3	1,206	0	1	21	3	1,499	10%
Adults (Age 22 and Over)	675	413	3,177	313	390	7	346	36	60	32	810	286	538	4,136	3	15	62	61	11,360	74%
Total Percent	676	414	3,361	337	412	79	348	36	61	135	818	286	542	7,726	3	19	97	68	15,418	100%

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)
 DDS Lic. CTH (CTH) = DDS licensed community training home
 CTH Not DDS Lic. (CTO) = Non-DDS licensed CTH (DCF foster home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*

* Long Term Care Facility (Licensed by the Dept. of Health Services)

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IS = DDS Individual Support in Own Home
 Priv. Home IS = Private Individual Support in Own Home
 Family Home (FAM) = Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

Section V: Case Load by Age

B. Day/Work Services

DDS CLIENTS BY DAY PROGRAM AND AGE

September 2010 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	DSO	SEI	GSE	SHE	IDV	IDN	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	12	5	0	0	0	0	0	6	23	0%
3-5	0	0	0	0	0	0	52	91	0	0	0	1	2	19	165	1%
6-13	0	0	0	0	0	0	36	1,185	3	0	0	6	9	44	1,283	8%
14-17	0	0	0	0	0	0	2	1,038	9	0	0	7	3	29	1,088	7%
18-21	71	19	95	1	7	3	3	1,194	19	1	0	5	14	67	1,499	10%
22-34	1,112	462	1,229	93	97	41	0	113	14	88	5	15	263	136	3,668	24%
35-44	681	202	685	111	30	29	0	5	3	99	11	4	200	55	2,115	14%
45-54	985	202	827	165	34	38	0	0	1	106	10	6	220	49	2,643	17%
55-64	826	88	395	133	13	38	0	3	2	65	6	2	188	55	1,814	12%
65-74	388	28	98	32	2	30	0	0	0	15	1	0	122	32	748	5%
75+	190	6	13	13	0	27	0	0	0	4	1	2	99	17	372	2%
TOTAL	4,253	1,007	3,342	548	183	206	105	3,634	51	378	34	48	1,120	509	15,418	100%
PERCENT	28%	7%	22%	4%	1%	1%	1%	24%	0%	2%	0%	0%	7%	3%	100%	
Sub-Totals by Age Groups																
Age Group	DSO	SEI	GSE	SHE	IDV	IDN	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	0	0	0	0	102	2,319	12	0	0	14	14	98	2,559	17%
Young Adults (Age 18-21)	71	19	95	1	7	3	3	1,194	19	1	0	5	14	67	1,499	10%
Adults (Age 22 and Over)	4,182	988	3,247	547	176	203	0	121	20	377	34	29	1,092	344	11,360	74%
Total	4,253	1,007	3,342	548	183	206	105	3,634	51	378	34	48	1,120	509	15,418	100%
Percent	28%	7%	22%	4%	1%	1%	1%	24%	0%	2%	0%	0%	7%	3%		
DSO Day Support Options SEI Supported Employment - Individual Placement GSE Group Supported Employment SHE Sheltered Employment IDV Individualized Day Vocational IDN Individualized Day Non-Vocational LEA Public School SCD Res School EMP Employed EMX Unemployed OTH Other Day Program NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical																

Section VI: Home and Community Based Waiver

A. Enrollment

All HCBS Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	217	991	116	137	1,050	417	6	23	2,957
South	175	919	90	147	1,117	583	3	19	3,053
West	14	907	79	106	1,123	364	6	30	2,629
Sep 10 Total	406	2,817	285	390	3,290	1,364	15	72	8,639
June 2010	448	2,789	269	392	3,287	1,391	11	53	8,640
ΔYTD	-42	28	16	-2	3	-27	4	19	-1

Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	217	985	100	123	80	207	1	12	1,725
South	175	915	65	135	68	260	1	12	1,631
West	14	895	65	94	78	151	4	17	1,318
Sep 10 Total	406	2,795	230	352	226	618	6	41	4,674
June 2010	448	2,760	219	356	206	627	4	23	4,643
ΔYTD	-42	35	11	-4	20	-9	2	18	31

Individual and Family Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	0	6	16	14	970	210	5	11	1,232
South	0	4	25	12	1,049	323	2	7	1,422
West	0	12	14	12	1,045	213	2	13	1,311
Sep 10 Total	0	22	55	38	3,064	746	9	31	3,965
June 2010	0	29	50	36	3,081	764	7	30	3,997
ΔYTD	0	-7	5	2	-17	-18	2	1	-32

Data Source: CAMRIS 6/10

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CTHs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver

B. Federal Revenue

Actual v. Projected Revenue - FY 2011 First Quarter (Millions of Dollars)					
	SFY 10	SFY 11	SFY 11	SFY 11	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	Projected vs Actual	Revenue Received
Waiver	\$364.40	\$346.12	\$87.14	\$258.98	25.18%
Public ICF/MR	\$111.10	\$112.82	\$27.82	\$85.00	24.66%
Targeted Case Mgmt.	\$4.62	\$8.51	\$2.63	\$5.87	30.94%
Birth to Three	\$19.39	\$7.96	\$1.98	\$5.99	24.85%
TOTAL Billing	\$499.51	\$475.41	\$119.57	\$355.84	25.15%

MU - Revenues Cross Over Multiple Regions*					
Waiver	\$29.27	\$32.84	\$10.70	\$22.14	32.58%
Birth to Three	\$19.39	\$7.96	\$1.98	\$5.99	24.85%
TOTAL Billing	\$48.66	\$40.80	\$12.68	\$28.12	31.07%
*Excludes Public ICF/MR and Targeted Case Mgmt.					

North*					
Waiver	\$124.84	\$120.50	\$29.69	\$90.81	24.64%
Public ICF/MR	\$7.43	\$7.18	\$1.98	\$5.20	27.54%
Targeted Case Mgmt.	\$1.74	\$2.91	\$0.89	\$2.02	30.55%
TOTAL Billing	\$134.01	\$130.59	\$32.55	\$98.04	24.93%
*Excludes Birth to Three					

South*					
Waiver	\$120.40	\$109.98	\$27.37	\$82.61	24.88%
Public ICF/MR	\$6.18	\$6.87	\$1.50	\$5.37	21.79%
Targeted Case Mgmt.	\$1.61	\$2.73	\$0.96	\$1.77	35.12%
TOTAL Billing	\$128.19	\$119.58	\$29.82	\$89.75	24.94%
*Excludes Birth to Three					

West*					
Waiver	\$89.88	\$82.80	\$19.39	\$63.41	23.41%
Public ICF/MR	\$97.49	\$98.78	\$24.35	\$74.43	24.65%
Targeted Case Mgmt.	\$1.27	\$2.87	\$0.78	\$2.08	27.36%
TOTAL Billing	\$188.65	\$184.44	\$44.52	\$139.93	24.14%
*Excludes Birth to Three					

Section VII: Human Resource**A. DDS funded Position Count**

<u>Permanent Full Time Position Count (General Funds)</u>						
Region	FY10 Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	818	740	2	21	1	55
South	736	660	1	30	3	45
West	645	521	4	23	2	97
STS	1263	1122	8	62	1	71
Central Office	133	119	0	0	0	14
Totals	3595	3162	15	136	7	282

<u>Permanent Part Time Position Count (General Funds)</u>						
Region	FY10 Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	364	335	2	7	1	20
South	334	300	0	8	2	26
West	240	212	3	13	0	12
STS	220	136	3	8	0	73
Central Office	2	2	0	0	0	0
Totals	1160	985	8	36	3	131

<u>Other General Fund Positions - Filled</u>						
Type	North	South	West	STS	CO	Total
Temporary	3	23	5	0	0	31
General Workers	31	34	3	122	0	190
Substitutes	0	0	1	0	0	1
Retirees	0	0	0	1	0	1
Inst. Fire	0	0	0	8	0	8
Per Diems	21	16	6	4	5	52

<u>Federal Funded Positions - Filled</u>						
	North	South	West	STS	CO	Total
Full Time	0	0	0	0	14	14
Part Time	7	7	1	0	0	15

Section VII: Human Resources

B. DDS Abuse and Neglect Registry

DDS ABUSE AND NEGLECT REGISTRY QUARTERLY REPORT

July through September - 2010

REGISTRY REFERRALS		
New Referrals this Quarter	18	(18 – Private Sector) (0 – Public Sector)
Referrals as of 6/30/10	555	(548 actual names – 7 individuals have dual referrals)
TOTAL REFERRALS	573	(566 actual names – 7 individuals have dual referrals)

REFERRALS BY SECTOR		
Private sector	448	78%
Public sector	125	22%

	Total to date	Private Sector	Public Sector
Names on Registry	96 names	69	27
Closed - Arbitration & Legal Proceeding	75	17	58
Closed - Do not meet Statutory Criteria	309	272	37
Total Completed Cases	480	358	122

HEARING ACTIVITY for the Quarter	
Hearings Held	8
Hearing Waivers Received	0
Pending Hearings Scheduled	0

Abuse/Neglect Registry Inquiries

